#21 1105

PATENT

Attorney Docket No. 0320-0018 (HOOV 118)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Michael D. Hooven

Serial No.: 10/015,862

Filed: December 12, 2001

Group Art No.: 3739

Examiner: Rosiland S. Kearney

For: TRANSMURAL ABLATION DEVICE

WITH SPRING LOADED JAWS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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## FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir/Madam:

Pursuant to 37 C.F.R. §1.97, Applicant hereby calls the Examiner's attention to documents listed on the attached form, which documents may be material to the examination of this application. A copy of each of the documents is provided in U.S. Patent Application Serial No. 10/032,378, which is relied upon for an earlier effective filing date of the present application under 35 U.S.C. 120, and accordingly is not enclosed.

No inference should be drawn that any method disclosed is equivalent to the subject invention. Also, the citation of the above-discussed documents is not to be construed as an assertion that more pertinent art could not possibly be in existence.

Citation of any document herein is not to be construed as an admission that any subject matter disclosed in the document is necessarily within the inventive field of endeavor, that any disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that any disclosure is otherwise necessarily prior art with respect to the instant invention.

Applicant also respectfully reserves the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosure of those documents discussed herein, that may be cited by the Examiner in rejecting a claim in the present patent application.

This Fourth Supplemental Information Disclosure Statement is being filed either before the mailing of the first office action or after the mailing date of a first Office Action on the merits but before a final action or an action that closes prosecution. The cited information was first called to Applicant's attention either in an Office Action dated November 3, 2003 for a related application or in the results of a search of United States patents received not before October 27, 2003. Accordingly, pursuant to 37 C.F.R. § 1.97(e)(2), it is hereby stated: no item of this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the best knowledge of the person signing this certification after making a reasonable inquiry, no item of information contained

in this information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to filing of this information disclosure statement. Consequently, as provided in 37 C.F.R. § 1.97(c), a fee under §1.17(p) is not required for the filling of this information disclosure. However, if it is determined that an additional fee is required, then authorization is hereby granted to charge Deposit Account 50-1039 any additional fee required. (A duplicate of this document is enclosed herewith.)

Respectfully submitted,

nata.	December	H	2003	Bv:

Gary W. McFarron, Esq.

Registration No. 27,357

Cook, Alex, McFarron, Manzo, Cummings & Mehler, Ltd. 200 West Adams St., Suite 2850 Chicago, IL 60606 Telephone: (312) 236-8500

Attorneys for Applicant

PTO/SB/08A (04-03)

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Application Number 10/015,862

Filing Date December 12, 2001

First Named Inventor Michael D. Hooven

Art Unit 3739

Examiner Name Rosiland S. Kearney

Attorney Docket Number 0320-0018 (HOOV 118)

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	<sup>US-</sup> 5,323,781	06-28-1994	Ideker et al.	
	2	<sup>US-</sup> 5,500,012	03-19-1996	Brucker et al.	
	3	<sup>US-</sup> 5,876,398	03-02-1999	Mulier et al.	
	4	<sup>US-</sup> 5,891,138	04-06-1999	Tu et al.	
	5	<sup>US-</sup> 5,897,554	04-27-1999	Chia et al.	
	6	<sup>US-</sup> 6,033,402	03-07-2000	Tu et al.	
	7	<sup>US-</sup> 6,064,902	05-16-2000	Haissaguerre et al.	
	8	<sup>US-</sup> 6,068,653	05-30-2000	LaFontaine	
	9	<sup>US-</sup> 6,113,595	09-05-2000	Muntermann	
	10	<sup>US-</sup> 6,117,101	09-12-2000	Diederich et al.	
•	11	<sup>US-</sup> 6,123,703	09-26-2000	Tu et al.	750
	12	<sup>US-</sup> 6,142,994	11-7-2000	Swanson et al.	RECFIVE
	13	<sup>US-</sup> 6,156,033	12-05-2000	Tu et al.	DEO.
	14	<sup>US-</sup> 6,193,713	02-27-2001	Geistert et al.	DEC 1 0 2003
	15	us- 6,292,678	09-18-2001	Hall et al.	CHINO: OO
	16	<sup>US-</sup> 6,356,790	03-12-2002	Maguire et al.	CHIVOLOGY CENTER huzeo
	17	<sup>US-</sup> 6,447,507	09-10-2002	Bednarek et al.	
	18	<sup>US-</sup> 6,474,340	11-05-2002	Vaska et al.	
	19	<sup>US-</sup> 6,488,678	12-03-2002	Sherman	

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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T°
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Examiner	Date	
Signature	Considered	

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 3

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Complete if Known					
Application Number	10/015,862				
Filing Date	December 12, 2001				
First Named Inventor	Michael D. Hooven				
Art Unit 3739					
Examiner Name	Rosiland S. Kearney				
Attorney Docket Number	0320-0018 (HOOV 118)	フ			

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		Number-Kind Code <sup>2</sup> (# known)			rigules Appeal
	20	<sup>US-</sup> 6,506,189	01-14-2003	Rittman, III et al.	
	21	<sup>US-</sup> 6,575,969	05-10-2003	Rittman, III et al.	
	22	<sup>US-</sup> 6,610,055	08-26-2003	Swanson et al.	
	23	<sup>US-</sup> 6,632,222	10-14-2003	Edwards et al.	
	24	<sup>US-</sup> 6,334,860	01-01-2002	Dorn	
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	28	<sup>US-</sup> 2002/0128643 A1	09-12-2002	Simpson et al.	
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	34	us- 2003/0069572 A1	04-10-2003	Wellman et al.	- OF THE PERSON
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Sheet 3

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	52	<sup>US-</sup> 5,125,928	06-30-1992	Parins et al. <b>HEU</b>	
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